



Resolution

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February 2025
FOI_6921

The following information was requested on 17 December 2024 and clarified on 28 January 2025:

[On 17 December 2024 you requested]

Please can I kindly request the following:

- 1. How many incidents were reported to NHS Resolution under the Early Notification Scheme for each individual Trust in the North of England, broken down by Trust and financial year from the financial year 2019/2020 to date.*
- 2. How many of these reported incidents progressed to a formal claim broken down by Trust and financial year from the financial year 2019/2020 to date.*
- 3. How many of these reported incidents progressed to a finding of breach broken down by Trust and financial year from the financial year 2019/2020 to date.*
- 4. How many of these reported incidents progressed to a potential claim broken down by Trust and financial year from the financial year 2019/2020 to date.*

[We sought clarification 23 December 2024]

Thank you for your request for information. Please can you clarify the following:

Our Early Notification Team have carried out an initial search for Early Notification cases broken down in the way you have requested it. Please note that it will lead to a lot of low numbers being anonymised. We do not disclose figures below 5 for data protection reasons and these low figures will need to be replaced with an #. An example table is here: [FOI 6841 Early-Notification-cases-for-named-Trusts.pdf](#)

However, if you do not require the data to be broken down by each year, we would be able to provide you with more meaningful data. Please can you clarify if you do not need the data to be broken down yearly.

In terms of Q4 – Please can you clarify what you mean by potential claims? Please note that any incident reported to us is classed as a potential claim therefore, our answer to Q1 will already cover potential claims.

Your request will be put on hold until we hear from you.

We look forward to hearing from you.

[On 28 January 2025 you replied]

Please may I have the data both broken down by year and also in a way that you think would provide more meaningful data, as you have not stated in which way the data will be provided that would make it more meaningful.

Our Response

Thank you for your request for information. Please find attached the requested information we are able to provide.

As you have requested the number of Early Notification cases for the North of England, we have provided the data for the North West region and also the North East and Yorkshire region. We have used the same regional classification used by NHS England. For further information about the different regions we use please see: [NHS England » Regional teams](#).

Please note that Table 1 represents all of the EN incidents notified during the specified time period for Trusts in the North of England. Table 2 represents the incidents that resulted into EN claims, and this figure should not be combined with Table 1. Similarly, Table 3 represents the EN Claims with a breach of duty admission and this figure should not be combined with Table 1 or Table 2.

We have not provided an answer for question four as we believe all matters reported are potential claims and this is therefore covered in question one. All Early Notification matters are identified as an incident until specific criteria has been reached when it is then identified as an Early Notification claim. The criteria for an Early Notification claim are either a) NHS Resolution has decided following a pro-active investigation that an early admission would be made; or b) notification of a claim has been received. As a result, an EN claim is not always identified through the traditional notification by claimant/claimant lawyer.

Please note that we report in complete financial years. We have provided the information for the financial years 2019/20 – 2023/24. The data for the financial year 2024/25 is currently unavailable. It will be available on request from August 2025.

For further information about our Early Notification Scheme please see: [Early Notification Scheme](#).

Low Numbers

We have suppressed low figures as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3A) (a) of the FOI Act, where disclosure to a member of the public would contravene one or more of the data

protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation. We take the view that it would not be fair or lawful (given the sensitive and confidential nature of the information held) to disclose such information, and any disclosure would therefore contravene the first data protection principle.

In some instances, the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals' identities, as disclosure could potentially cause damage and/or distress to those involved.

If you would like to know how data is categorised in our Claims database please see the following link: [Glossary](#)

This concludes our response to your request.

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to [Tinku Mitra](#), Deputy Director of Corporate and Information Governance, Data Protection Officer for NHS Resolution, within 28 days of your receipt of this reply. Reviews of decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

<https://ico.org.uk/>

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NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.

[Table 1: Number of Early Notification incidents received between financial years 2019/20 and 2023/24 by individual trusts in North of England](#)

[Table 2: Number of Early Notification claims received between financial years 2019/20 and 2023/24 by individual trusts in North of England](#)

[Table 3: Number of Early Notification claims received between financial years 2019/20 and 2023/24 with a breach of duty admission by individual trusts in North of England](#)

Table 1: Number of Early Notification incidents received between financial years 2019/20 and 2023/24 by individual trusts in North of England

GroupCode	229: Early Notification Scheme
Reporting_Region	(Multiple Items)
CreationFYear	(Multiple Items)

Trust	No. of Incidents
Airedale NHS Foundation Trust	5
Barnsley Hospital NHS Foundation Trust	6
Blackpool Teaching Hospitals NHS Foundation Trust	10
Bolton NHS Foundation Trust	17
Bradford Teaching Hospitals NHS Foundation Trust	22
Calderdale & Huddersfield NHS Foundation Trust	19
Countess of Chester Hospital NHS Foundation Trust	7
County Durham and Darlington NHS Foundation Trust	12
Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust	5
East Cheshire NHS Trust	#
East Lancashire Hospitals NHS Trust	21
Gateshead Health NHS Foundation Trust	#
Harrogate & District NHS Foundation Trust	#
Hull University Teaching Hospitals NHS Trust	12
Lancashire Teaching Hospitals NHS Foundation Trust	22
Liverpool Women's Hospital NHS Foundation Trust	30
Manchester University NHS Foundation Trust	59
Mersey and West Lancashire Hospitals NHS Trust	22
Mid Cheshire Hospitals NHS Foundation Trust	11
Mid Yorkshire Teaching NHS Trust	6
Newcastle Upon Tyne Hospitals NHS Foundation Trust (The)	15
North Cumbria Integrated Care NHS Foundation Trust	6
North Tees & Hartlepool NHS Foundation Trust	6
Northern Care Alliance NHS Foundation Trust	22
Northern Lincolnshire & Goole Hospitals NHS Foundation Trust	#
Northumbria Healthcare NHS Foundation Trust	#
Sheffield Teaching Hospitals NHS Foundation Trust	28
South Tees Hospitals NHS Foundation Trust	5
South Tyneside and Sunderland NHS Foundation Trust	5
Stockport NHS Foundation Trust	9
Tameside and Glossop Integrated Care NHS Foundation Trust	9
The Leeds Teaching Hospitals NHS Trust	18
The Rotherham NHS Foundation Trust	6
University Hospitals of Morecambe Bay NHS Foundation Trust	7
Warrington and Halton Hospitals NHS Foundation Trust	12
Wirral University Teaching Hospital NHS Foundation Trust	13
Wrightington, Wigan and Leigh NHS Foundation Trust	10
York and Scarborough Teaching Hospitals NHS Foundation Trust	#
Grand Total	474

Table 2: Number of Early Notification claims received between financial years 2019/20 and 2023/24 by individual trusts in North of England

GroupCode	229: Early Notification Scheme
Reporting_Region	(Multiple Items)
IsENClaim	Y
CreationYear	(Multiple Items)

Year of Notification	No. of Claims
Airedale NHS Foundation Trust	#
Barnsley Hospital NHS Foundation Trust	#
Blackpool Teaching Hospitals NHS Foundation Trust	#
Bolton NHS Foundation Trust	#
Bradford Teaching Hospitals NHS Foundation Trust	#
Calderdale & Huddersfield NHS Foundation Trust	#
County Durham and Darlington NHS Foundation Trust	#
Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust	#
East Cheshire NHS Trust	#
East Lancashire Hospitals NHS Trust	#
Gateshead Health NHS Foundation Trust	#
Harrogate & District NHS Foundation Trust	#
Hull University Teaching Hospitals NHS Trust	#
Lancashire Teaching Hospitals NHS Foundation Trust	#
Liverpool Women's Hospital NHS Foundation Trust	#
Manchester University NHS Foundation Trust	10
Mersey and West Lancashire Hospitals NHS Trust	5
Mid Cheshire Hospitals NHS Foundation Trust	#
Mid Yorkshire Teaching NHS Trust	#
Newcastle Upon Tyne Hospitals NHS Foundation Trust (The)	#
Northern Care Alliance NHS Foundation Trust	#
Northern Lincolnshire & Goole Hospitals NHS Foundation Trust	#
Sheffield Teaching Hospitals NHS Foundation Trust	6
South Tyneside and Sunderland NHS Foundation Trust	#
Stockport NHS Foundation Trust	#
The Leeds Teaching Hospitals NHS Trust	5
The Rotherham NHS Foundation Trust	#
University Hospitals of Morecambe Bay NHS Foundation Trust	#
Warrington and Halton Hospitals NHS Foundation Trust	#
Wirral University Teaching Hospital NHS Foundation Trust	5
Wrightington, Wigan and Leigh NHS Foundation Trust	#
Grand Total	87

Table 3: Number of Early Notification claims received between financial years 2019/20 and 2023/24 with a breach of duty admission by individual trusts in North of England

GroupCode	229: Early Notification Scheme
Reporting_Region	(Multiple Items)
IsENClaim	Y
Admission Type	(Multiple Items)
CreationFYear	(Multiple Items)

Trust	No. of Claims
Airedale NHS Foundation Trust	#
Barnsley Hospital NHS Foundation Trust	#
Blackpool Teaching Hospitals NHS Foundation Trust	#
Bolton NHS Foundation Trust	#
Bradford Teaching Hospitals NHS Foundation Trust	#
Calderdale & Huddersfield NHS Foundation Trust	#
County Durham and Darlington NHS Foundation Trust	#
Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust	#
East Cheshire NHS Trust	#
East Lancashire Hospitals NHS Trust	#
Harrogate & District NHS Foundation Trust	#
Hull University Teaching Hospitals NHS Trust	#
Lancashire Teaching Hospitals NHS Foundation Trust	#
Liverpool Women's Hospital NHS Foundation Trust	#
Manchester University NHS Foundation Trust	8
Mersey and West Lancashire Hospitals NHS Trust	5
Mid Cheshire Hospitals NHS Foundation Trust	#
Mid Yorkshire Teaching NHS Trust	#
Newcastle Upon Tyne Hospitals NHS Foundation Trust (The)	#
Northern Care Alliance NHS Foundation Trust	#
Sheffield Teaching Hospitals NHS Foundation Trust	#
South Tyneside and Sunderland NHS Foundation Trust	#
Stockport NHS Foundation Trust	#
The Leeds Teaching Hospitals NHS Trust	5
The Rotherham NHS Foundation Trust	#
University Hospitals of Morecambe Bay NHS Foundation Trust	#
Warrington and Halton Hospitals NHS Foundation Trust	#
Wirral University Teaching Hospital NHS Foundation Trust	5
Wrightington, Wigan and Leigh NHS Foundation Trust	#
Grand Total	71