



## Resolution

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**Telephone:** 020 7811 2700

March 2019  
FOI\_3642

The following information was requested on 25 February 2019:

*I would like to request the following information in addition to the FOI 2818 Cardiology and Cardiothoracic Surgery*

- 1. Total number of claims in Cardiothoracic Surgery from 2004-2017*
- 2. Total number of Successful Claims in Cardiothoracic Surgery from 2004-2017*
- 3. Total number of Unsuccessful Claims in Cardiothoracic Surgery from 2004-2017*
- 4. Claims currently open in Cardiothoracic Surgery from 2004-2017*
- 5. Sum of damages paid to the claimant in Cardiothoracic Surgery from 2004-2017*
- 6. Sum of defence in Cardiothoracic Surgery from 2004-2017*
- 7. Claimant costs paid in Cardiothoracic Surgery from 2004-2017*
- 8. Claim Type in Cardiothoracic Surgery from 2004-2017*
- 9. Time to settlement in Cardiothoracic Surgery from 2004-2017*
- 10. Summary of the claim in Cardiothoracic Surgery from 2004-2017 (E.g. Wrong site surgery, Retained foreign body, Wrong implant, delay in diagnosis, wrong diagnosis etc.)*

### **Our Response**

Please find attached the requested information.

We have suppressed low numbers as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3) (a) (i) of the Act, where disclosure to a member of the public would contravene one or more of the data protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation. We take the view that it would not be fair or lawful (given the sensitive and confidential nature of the information held) to disclose such information, and any disclosure would therefore contravene the first data protection principle.

In some instances the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals identities', as disclosure could potentially cause damage and/or distress to those involved. Where we are in the territory of such small numbers in the attached, we have used a '#' symbol in the relevant field. You should still be able to see aggregate/total details for higher level fields containing this data.

If you would like to know how data is categorised in our Claims database please see the following link: [Glossary](#)

**This concludes our response to your request.**

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to [Tinku Mitra](#), Head of Corporate and Information Governance for NHS Resolution, within 28 days of your receipt of this reply. Reviews of decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF

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**NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.**

[Q1: Total number of claims in Cardio Thoracic Surgery from 2004-2017.](#)

[Table 1: Number of Claims received between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

[For claims within Cardio Thoracic Surgery from 2004-2017,](#)

[Q2: Total number of successful claims,](#)

[Q5: Sum of damages paid to the claimant,](#)

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[Q7: Claimant costs paid.](#)

[Q9: Time to settlement](#)

[Table 2: Number and Cost of Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

[For claims within Cardio Thoracic Surgery from 2004-2017,](#)

[Q3: Total number of Unsuccessful claims](#)

[Q6: Sum of defence,](#)

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[Table 3: Number of Claims Closed without damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

[Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017](#)

[Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

[Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.](#)

[Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

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**NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.**

[Q4: Claims currently open in Cardio Thoracic Surgery from 2004-2017.](#)

[Table 6: Number of Claims currently open \(includes claims which have been settled as Periodic Payment Orders\) which were received between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'](#)

**Q1: Total number of claims in Cardio Thoracic Surgery from 2004-2017.**

**Table 1: Number of Claims received between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'**

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Notifications	Y
Clinical_NonClinical	(All)
Status_Flag	(Multiple Items)

<b>No_of_Claims</b>	<b>Column Labels</b>
<b>Notification Year</b>	<b>Cardio Surgery</b>
2004/05	39
2005/06	31
2006/07	39
2007/08	37
2008/09	34
2009/10	37
2010/11	55
2011/12	51
2012/13	49
2013/14	69
2014/15	80
2015/16	81
2016/17	85
2017/18	66
<b>Grand Total</b>	<b>753</b>

For claims within Cardio Thoracic Surgery from 2004-2017,  
 Q2: Total number of successful claims,  
 Q5: Sum of damages paid to the claimant,  
 Q6: Sum of defence,  
 Q7: Claimant costs paid.  
 Q9: Time to settlement

**Table 2: Number and Cost of Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	Avg Time Notify to Settle (Years)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
<b>Cardio Surgery</b>	<b>2.2</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>
2004/05	2.0	29	8,246,999	632,680	1,409,917	10,289,595
2005/06	2.4	24	4,308,986	324,204	892,900	5,526,090
2006/07	2.2	19	714,125	196,953	556,950	1,468,028
2007/08	2.0	19	2,937,562	366,998	882,349	4,186,909
2008/09	1.8	19	4,542,353	257,857	611,274	5,411,484
2009/10	2.4	21	3,379,561	474,028	1,272,716	5,126,305
2010/11	2.4	31	7,345,397	680,372	1,950,970	9,976,739
2011/12	2.7	30	6,916,388	801,152	2,744,081	10,461,622
2012/13	2.0	29	3,744,153	361,454	1,286,722	5,392,329
2013/14	2.4	34	7,819,370	633,087	2,517,558	10,970,015
2014/15	2.3	35	6,064,316	672,330	2,684,248	9,420,893
2015/16	1.8	40	3,765,014	540,400	2,514,113	6,819,527
2016/17	2.1	37	7,094,361	655,669	3,009,263	10,759,293
2017/18	1.9	48	13,151,008	760,069	3,317,110	17,228,187
<b>Grand Total</b>	<b>2.2</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>

For claims within Cardio Thoracic Surgery from 2004-2017,  
**Q3: Total number of Unsuccessful claims**  
**Q6: Sum of defence,**  
**Q9: Time to settlement**

**Table 3: Number of Claims Closed without damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	NIL Damages

Year of Closure (Settlement Year for PPOs)	Avg Time Notify to Settle (Years)	No_of_Claims	Defence Costs Paid
<b>Cardio Surgery</b>	<b>1.7</b>	<b>321</b>	<b>1,662,472</b>
2004/05	2.4	43	109,520
2005/06	1.8	25	85,780
2006/07	1.3	24	97,740
2007/08	2.6	18	122,050
2008/09	1.3	17	104,749
2009/10	1.8	12	25,619
2010/11	1.0	11	28,604
2011/12	1.0	14	21,635
2012/13	2.3	22	307,242
2013/14	1.4	22	64,497
2014/15	1.1	26	87,460
2015/16	1.7	23	42,421
2016/17	1.1	30	152,158
2017/18	1.6	34	412,998
<b>Grand Total</b>	<b>1.7</b>	<b>321</b>	<b>1,662,472</b>

**Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017**

**Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
<b>----- Primary Causes</b>					
<b>Cardio Surgery</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>
<b>2004/05</b>	<b>29</b>	<b>8,246,999</b>	<b>632,680</b>	<b>1,409,917</b>	<b>10,289,595</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	5	5,791,686	284,552	741,028	6,817,265
Inadequate Monitoring Intra-Op	#	#	#	#	#
Intra-Op Problems	10	1,619,698	240,118	422,650	2,282,466
Lack Of Assistance/Care	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Retained Instrument Post-Operation	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Intubation Problems	#	#	#	#	#
<b>2005/06</b>	<b>24</b>	<b>4,308,986</b>	<b>324,204</b>	<b>892,900</b>	<b>5,526,090</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Warn-Informed Consent	7	389,179	144,935	393,269	927,383
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	5	270,450	49,893	91,785	412,128
Delay In Performing Operation	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Cross Infection	#	#	#	#	#
<b>2006/07</b>	<b>19</b>	<b>714,125</b>	<b>196,953</b>	<b>556,950</b>	<b>1,468,028</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Medication Errors	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
<b>2007/08</b>	<b>19</b>	<b>2,937,562</b>	<b>366,998</b>	<b>882,349</b>	<b>4,186,909</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Cross Infection	#	#	#	#	#
<b>2008/09</b>	<b>19</b>	<b>4,542,353</b>	<b>257,857</b>	<b>611,274</b>	<b>5,411,484</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#



**Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017**

**Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
<b>----- Primary Causes</b>					
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Medication Errors	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
<b>2009/10</b>	<b>21</b>	<b>3,379,561</b>	<b>474,028</b>	<b>1,272,716</b>	<b>5,126,305</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Medication Errors	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Unexpected Death	#	#	#	#	#
Intubation Problems	#	#	#	#	#
Cross Infection	#	#	#	#	#
<b>2010/11</b>	<b>31</b>	<b>7,345,397</b>	<b>680,372</b>	<b>1,950,970</b>	<b>9,976,739</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	7	355,415	60,123	265,788	681,326
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure To Interpret X-Ray	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	6	2,785,304	291,310	629,616	3,706,230
Lack Of Assistance/Care	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Fail/Delay Referring To Hosp.	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
<b>2011/12</b>	<b>30</b>	<b>6,916,388</b>	<b>801,152</b>	<b>2,744,081</b>	<b>10,461,622</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#

Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017

Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No. of Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
<b>----- Primary Causes</b>					
Intra-Op Problems	8	1,439,854	222,953	976,500	2,639,307
Foreign Body Left In Situ	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Intubation Problems	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
<b>2012/13</b>	<b>29</b>	<b>3,744,153</b>	<b>361,454</b>	<b>1,286,722</b>	<b>5,392,329</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Medication Errors	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
<b>2013/14</b>	<b>34</b>	<b>7,819,370</b>	<b>633,087</b>	<b>2,517,558</b>	<b>10,970,015</b>
Fail / Delay Treatment	6	372,987	101,196	519,400	993,583
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure To Perform Operation	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	5	400,000	37,587	226,300	663,887
Intra-Op Problems	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
<b>2014/15</b>	<b>35</b>	<b>6,064,316</b>	<b>672,330</b>	<b>2,684,248</b>	<b>9,420,893</b>
Fail / Delay Treatment	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure To Interpret X-Ray	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Intra-Op Problems	#	#	#	#	#
Lack Of Assistance/Care	#	#	#	#	#
Other	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#

**Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017**

**Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No. of Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
Cross Infection	7	538,122	41,325	271,924	851,372
Problem Blood Fluids	#	#	#	#	#
<b>2015/16</b>	<b>40</b>	<b>3,765,014</b>	<b>540,400</b>	<b>2,514,113</b>	<b>6,819,527</b>
Fail / Delay Treatment	7	351,106	73,382	275,625	700,112
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Supervise	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Intra-Op Problems	6	1,994,680	249,031	1,242,249	3,485,960
Other	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Fail/Delay Referring To Hosp.	#	#	#	#	#
Medication Errors	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
Cross Infection	#	#	#	#	#
<b>2016/17</b>	<b>37</b>	<b>7,094,361</b>	<b>655,669</b>	<b>3,009,263</b>	<b>10,759,293</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	5	887,071	77,800	272,670	1,237,540
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	7	4,209,288	277,678	1,455,250	5,942,215
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Medication Errors	5	163,500	30,648	135,400	329,548
Perform. Of Op. Not Indicated	#	#	#	#	#
Unexpected Death	#	#	#	#	#
Intubation Problems	#	#	#	#	#
<b>2017/18</b>	<b>48</b>	<b>13,151,008</b>	<b>760,069</b>	<b>3,317,110</b>	<b>17,228,187</b>
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	8	746,482	89,834	369,250	1,205,567
Fail To Supervise	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	5	495,403	77,829	385,500	958,732
Lack Of Assistance/Care	#	#	#	#	#
Other	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Operator Error	#	#	#	#	#

**Q8: Claim Type in Cardio Thoracic Surgery from 2004-2017**

**Table 4: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Foreign Body Left In Situ	#	#	#	#	#
Retained Instrument Post-Operation	#	#	#	#	#
Fail To Act On Abnorm Test Res	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Fail To Interpret USS	#	#	#	#	#
Medication Errors	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Unexpected Death	#	#	#	#	#
Inc In Comm By Absc/disch Pat	#	#	#	#	#
<b>Grand Total</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>

**Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.**

**Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
<b>----- Primary Injury Types</b>					
<b>Cardio Surgery</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>
<b>2004/05</b>	<b>29</b>	<b>8,246,999</b>	<b>632,680</b>	<b>1,409,917</b>	<b>10,289,595</b>
Fatality	11	758,959	200,660	385,154	1,344,772
Psychiatric/Psychological Dmge	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
Other	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Scarring	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Paraplegia	#	#	#	#	#
Pacemaker Installation	#	#	#	#	#
Blindness	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Foot Drop	#	#	#	#	#
Infectious Diseases	#	#	#	#	#
Burn(s)	#	#	#	#	#
Perforation	#	#	#	#	#
Vocal Cord Damage	#	#	#	#	#
<b>2005/06</b>	<b>24</b>	<b>4,308,986</b>	<b>324,204</b>	<b>892,900</b>	<b>5,526,090</b>
Fatality	11	478,679	168,401	430,995	1,078,075
Unnecessary Pain	#	#	#	#	#
Other	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Scarring	#	#	#	#	#
Paraplegia	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Respiratory Disorder/ Failure	#	#	#	#	#
<b>2006/07</b>	<b>19</b>	<b>714,125</b>	<b>196,953</b>	<b>556,950</b>	<b>1,468,028</b>
Fatality	#	#	#	#	#
Psychiatric/Psychological Dmge	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
Adtnl/unnecessary Operation(s)	5	348,639	77,999	261,667	688,306
Blindness	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Pressure Sores	#	#	#	#	#
<b>2007/08</b>	<b>19</b>	<b>2,937,562</b>	<b>366,998</b>	<b>882,349</b>	<b>4,186,909</b>
Fatality	6	203,064	92,716	102,319	398,099
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Blindness	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Pressure Sores	#	#	#	#	#

**Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.**

**Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)		Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types	No_of_Claims				
Burn(s)	#	#	#	#	#
Rupture	#	#	#	#	#
Cosmetic Disfigurement	#	#	#	#	#
<b>2008/09</b>	<b>19</b>	<b>4,542,353</b>	<b>257,857</b>	<b>611,274</b>	<b>5,411,484</b>
Fatality	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
Other	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Scarring	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Cancer	#	#	#	#	#
Burn(s)	#	#	#	#	#
Dental Damage	#	#	#	#	#
Epilepsy	#	#	#	#	#
<b>2009/10</b>	<b>21</b>	<b>3,379,561</b>	<b>474,028</b>	<b>1,272,716</b>	<b>5,126,305</b>
Fatality	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Stroke	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Fracture	#	#	#	#	#
Other Infection	#	#	#	#	#
Arterial Damage	#	#	#	#	#
Burn(s)	#	#	#	#	#
<b>2010/11</b>	<b>31</b>	<b>7,345,397</b>	<b>680,372</b>	<b>1,950,970</b>	<b>9,976,739</b>
Fatality	8	793,000	51,003	372,722	1,216,725
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	6	2,272,200	186,772	545,816	3,004,789
Bowel Damage/ Dysfunction	#	#	#	#	#
Scarring	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Pressure Sores	#	#	#	#	#
Tissue Damage	#	#	#	#	#
Other Infection	#	#	#	#	#
Infectious Diseases	#	#	#	#	#
Burn(s)	#	#	#	#	#
Perforation	#	#	#	#	#
<b>2011/12</b>	<b>30</b>	<b>6,916,388</b>	<b>801,152</b>	<b>2,744,081</b>	<b>10,461,622</b>
Fatality	9	368,714	82,271	354,875	805,860
Psychiatric/Psychological Dmge	#	#	#	#	#

**Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.**

**Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within speciality of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)		Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types	No_of_Claims				
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Bowel Damage/ Dysfunction	#	#	#	#	#
Scarring	#	#	#	#	#
Developmental Delay	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Burn(s)	#	#	#	#	#
<b>2012/13</b>	<b>29</b>	<b>3,744,153</b>	<b>361,454</b>	<b>1,286,722</b>	<b>5,392,329</b>
Bruising/ Extravasation	#	#	#	#	#
Fatality	7	313,000	49,792	214,325	577,117
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Scarring	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Learning Difficulties	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Respiratory Disorder/ Failure	#	#	#	#	#
Multiple Injuries	#	#	#	#	#
Burn(s)	#	#	#	#	#
Compartment Syndrome	#	#	#	#	#
<b>2013/14</b>	<b>34</b>	<b>7,819,370</b>	<b>633,087</b>	<b>2,517,558</b>	<b>10,970,015</b>
Fatality	8	343,487	50,426	286,050	679,963
Poor Outcome - Fractures Etc.	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Bowel Damage/ Dysfunction	#	#	#	#	#
Stroke	#	#	#	#	#
Developmental Delay	#	#	#	#	#
Cerebral Palsy	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Pressure Sores	#	#	#	#	#
Fracture	#	#	#	#	#
Other Infection	#	#	#	#	#
Partial Hearing Loss	#	#	#	#	#
Burn(s)	#	#	#	#	#
<b>2014/15</b>	<b>35</b>	<b>6,064,316</b>	<b>672,330</b>	<b>2,684,248</b>	<b>9,420,893</b>
Fatality	7	1,500,880	98,425	435,910	2,035,215
Unnecessary Pain	#	#	#	#	#
Other	#	#	#	#	#

**Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.**

**Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)		Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types	No_of_Claims				
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	5	102,579	4,707	63,800	171,086
Scarring	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Developmental Delay	#	#	#	#	#
Cardiovascular Condition	5	408,122	23,409	171,850	603,381
Blindness	#	#	#	#	#
Hospital Acquired Infection	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other Infection	#	#	#	#	#
Peritonitis	#	#	#	#	#
<b>2015/16</b>	<b>40</b>	<b>3,765,014</b>	<b>540,400</b>	<b>2,514,113</b>	<b>6,819,527</b>
Bruising/ Extravasation	#	#	#	#	#
Fatality	9	219,000	62,450	388,442	669,892
Poor Outcome - Fractures Etc.	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
Other	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Stroke	#	#	#	#	#
Scarring	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Developmental Delay	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Pressure Sores	#	#	#	#	#
Respiratory Disorder/ Failure	#	#	#	#	#
Anaphylact Shock/Allergic Shock/allergy	#	#	#	#	#
Burn(s)	#	#	#	#	#
Tuberculosis	#	#	#	#	#
<b>2016/17</b>	<b>37</b>	<b>7,094,361</b>	<b>655,669</b>	<b>3,009,263</b>	<b>10,759,293</b>
Fatality	13	1,039,000	151,948	810,600	2,001,548
Unnecessary Pain	#	#	#	#	#
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	5	110,560	19,739	141,500	271,799
Stroke	#	#	#	#	#
Thrombosis/Embolism	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Pressure Sores	#	#	#	#	#
Foot Drop	#	#	#	#	#
Fracture	#	#	#	#	#
Cancer	#	#	#	#	#
Burn(s)	#	#	#	#	#
Renal Damage/ Failure	#	#	#	#	#



**Q10: Summary of the claim in Cardio Thoracic Surgery from 2004-2017.**

**Table 5: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'**

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types					
Perforation	#	#	#	#	#
<b>2017/18</b>	<b>48</b>	<b>13,151,008</b>	<b>760,069</b>	<b>3,317,110</b>	<b>17,228,187</b>
Fatality	15	1,038,830	105,500	613,000	1,757,330
Unnecessary Pain	9	202,500	69,285	245,925	517,710
Brain Damage	#	#	#	#	#
Adtnl/unnecessary Operation(s)	5	189,175	104,581	280,425	574,181
Scarring	#	#	#	#	#
Thrombosis/Embolism	#	#	#	#	#
Amputation - Lower	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Cardiovascular Condition	#	#	#	#	#
Pacemaker Installation	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Pressure Sores	#	#	#	#	#
Foot Drop	#	#	#	#	#
Fracture	#	#	#	#	#
Aneurysm	#	#	#	#	#
<b>Grand Total</b>	<b>415</b>	<b>80,029,594</b>	<b>7,357,253</b>	<b>25,650,170</b>	<b>113,037,018</b>

**Q4: Claims currently open in Cardio Thoracic Surgery from 2004-2017.**

**Table 6: Number of Claims currently open (includes claims which have been settled as Periodic Payment Orders) which were received between financial years 2004/05 to 2017/18 within specialty of 'Cardio Surgery'**

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Clinical_NonClinical	Clinical
Status_Flag	Open
Settlement_Date	(All)

No_of_Claims	Column Labels
Notification Year	Cardio Surgery
1998/99	6
2000/01	#
2001/02	#
2002/03	#
2008/09	#
2010/11	#
2011/12	#
2012/13	#
2013/14	#
2014/15	9
2015/16	20
2016/17	35
2017/18	29
<b>Grand Total</b>	<b>111</b>