



Resolution

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June 2019
FOI_3780

The following information was requested on 17 May 2019:

Many thanks for this information [FOI 3540] which is extremely helpful for the research paper I am preparing on clinical negligence trends in Ophthalmology in the NHS over the last 10 years. This is planned to be an update on the paper "A decade of clinical negligence in Ophthalmology Ali N BMC Ophthalmology 2007" which was prepared in collaboration with NHSLA. In this paper as well as general trends in ophthalmic claims they also looked at claims in the subspecialties of ophthalmology (cataract, cornea, oculoplastics, uveitis, glaucoma, vitreoretinal, medical retina, neuro-ophthalmology, strabismus, paediatrics, oncology and trauma). Would it be possible to obtain the same data for the last 10 years so that I can include it in the updated paper as well?

Our Response

Please find attached the requested information.

Please note we are unable to provide you with a breakdown of individual claims and we have suppressed low figures as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3) (a) (i) of the Freedom of Information Act, where disclosure to a member of the public would contravene one or more of the data protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation.

In some instances the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals' identities', as disclosure could potentially cause damage and/or distress to those involved. Where we are in the territory of such small numbers in the attached, we have used a '#' symbol in the relevant field. You should still be able to see aggregate/total details for higher-level fields containing this data.

This concludes our response to your request.

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to [Tinku Mitra](#), Head of Corporate and Information Governance for NHS Resolution, within 28 days of your receipt of this reply. Reviews of

decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

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Analysis of claims notified / settled between financial years 2008/09 and 2017/18, where the Specialty include Ophthalmology

NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.

[Table 1: Number of Claims received between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology. Shown are the numbers for closed and open cases.](#)

[Table 2: Number and Cost of Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology.](#)

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Table 1: Number of Claims received between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology. Shown are the numbers for closed and open cases.

Notifications	Y
Clinical_NonClinical	Clinical

Notification Year	No_of_Claims
2008/09	
Closed	108
Open	#
2009/10	
Closed	156
Open	#
2010/11	
Closed	178
2011/12	
Closed	155
Open	#
2012/13	
Closed	202
Open	5
2013/14	
Closed	233
Open	9
2014/15	
Closed	211
Open	19
2015/16	
Closed	212
Open	44
2016/17	
Closed	173
Open	98
2017/18	
Closed	115
Open	137
Grand Total	2,065

Table 2: Number and Cost of Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology.

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
2008/09	61	7,216,333	608,624	1,721,062	9,546,019
2009/10	84	3,901,880	735,116	2,048,972	6,685,968
2010/11	115	8,712,979	1,170,100	3,721,299	13,604,377
2011/12	89	10,563,979	912,235	3,127,541	14,603,755
2012/13	97	8,649,118	761,006	3,448,268	12,858,391
2013/14	107	8,293,524	674,763	3,277,079	12,245,366
2014/15	127	10,998,611	1,233,584	5,820,511	18,052,705
2015/16	120	7,337,114	901,088	5,107,276	13,345,478
2016/17	161	11,785,403	1,617,731	8,122,503	21,525,638
2017/18	154	14,182,295	1,419,612	6,430,199	22,032,107
Grand Total	1,115	91,641,235	10,033,858	42,824,711	144,499,804

Table 3: Number of Claims Closed without damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	NIL Damages

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
2008/09	36	0	41,851	0	41,851
2009/10	50	0	136,459	2,300	138,759
2010/11	55	0	112,783	3,115	115,823
2011/12	56	0	80,010	0	80,010
2012/13	51	0	57,298	0	57,298
2013/14	69	0	124,774	1,000	125,774
2014/15	63	0	96,041	106	96,148
2015/16	70	0	159,593	11,635	170,552
2016/17	94	0	279,683	3,950	283,633
2017/18	79	0	247,458	0	247,458
Grand Total	623	0	1,335,950	22,106	1,357,306

Table 4: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs) ----- Primary Injury Types	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
2008/09	61	7,216,333	608,624	1,721,062	9,546,019
Adtnl/unnecessary Operation(s)	11	198,000	48,265	227,103	473,368
Blindness	13	5,719,862	324,089	772,481	6,816,432
Cancer	#	#	#	#	#
Cosmetic Disfigurement	#	#	#	#	#
Fatality	#	#	#	#	#
Fracture	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other Visual Problems	26	1,084,071	190,712	574,532	1,849,314
Unnecessary Pain	6	65,900	20,947	71,597	158,444
2009/10	84	3,901,880	735,116	2,048,972	6,685,968
Adtnl/unnecessary Operation(s)	11	206,060	34,925	191,894	432,878
Amputation - Lower	#	#	#	#	#
Blindness	17	1,582,453	226,594	488,140	2,297,186
Bruising/ Extravasation	#	#	#	#	#
Cancer	#	#	#	#	#
Fatality	#	#	#	#	#
Fracture	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other	#	#	#	#	#
Other Infection	#	#	#	#	#
Other Visual Problems	33	1,036,167	246,202	735,472	2,017,841
Poor Outcome - Fractures Etc.	#	#	#	#	#
Psychiatric/Psychological Dmge	#	#	#	#	#
Tissue Damage	#	#	#	#	#
Unknown	#	#	#	#	#
Unnecessary Pain	8	363,273	67,961	257,450	688,683
2010/11	115	8,712,979	1,170,100	3,721,299	13,604,377
Adtnl/unnecessary Operation(s)	18	418,287	54,593	328,896	801,777
Advanced Stage Cancer	#	#	#	#	#
Blindness	23	3,881,671	498,926	1,191,146	5,571,743
Bodily Harm/Murder	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Cosmetic Disfigurement	#	#	#	#	#
Fracture	#	#	#	#	#
Other	#	#	#	#	#
Other Infection	#	#	#	#	#
Other Visual Problems	58	3,798,469	519,429	1,729,820	6,047,718
Poor Outcome - Fractures Etc.	#	#	#	#	#
Psychiatric/Psychological Dmge	#	#	#	#	#
Scarring	#	#	#	#	#
Unnecessary Pain	#	#	#	#	#
2011/12	89	10,563,979	912,235	3,127,541	14,603,755
Adtnl/unnecessary Operation(s)	10	313,842	57,139	231,961	602,942
Advanced Stage Cancer	#	#	#	#	#

Table 4: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types					
Anaphylact Shock/Allergic Shock/allergy	#	#	#	#	#
Blindness	18	4,611,367	381,227	1,174,706	6,167,300
Brain Damage	#	#	#	#	#
Head Injuries	#	#	#	#	#
Other	#	#	#	#	#
Other Visual Problems	49	1,153,399	188,485	947,185	2,289,069
Scarring	#	#	#	#	#
Unnecessary Pain	5	131,106	45,543	249,939	426,588
2012/13	97	8,649,118	761,006	3,448,268	12,858,391
Adtnl/unnecessary Operation(s)	12	180,695	41,190	229,555	451,440
Blindness	18	3,943,357	231,575	872,272	5,047,204
Bruising/ Extravasation	#	#	#	#	#
Other	#	#	#	#	#
Other Visual Problems	49	4,122,989	396,890	2,048,329	6,568,209
Psychiatric/Psychological Dmge	#	#	#	#	#
Scarring	#	#	#	#	#
Unnecessary Pain	13	308,822	66,245	193,180	568,247
2013/14	107	8,293,524	674,763	3,277,079	12,245,366
Adtnl/unnecessary Operation(s)	10	117,336	16,430	163,000	296,766
Advanced Stage Cancer	#	#	#	#	#
Blindness	27	6,122,871	272,333	1,233,068	7,628,272
Bruising/ Extravasation	#	#	#	#	#
Burn(s)	#	#	#	#	#
Fatality	#	#	#	#	#
Malignant Tumour	#	#	#	#	#
Other	#	#	#	#	#
Other Visual Problems	49	1,705,698	326,838	1,525,662	3,558,198
Psychiatric/Psychological Dmge	#	#	#	#	#
Stroke	#	#	#	#	#
Unnecessary Pain	9	49,409	6,490	97,100	153,000
2014/15	127	10,998,611	1,233,584	5,820,511	18,052,705
Adtnl/unnecessary Operation(s)	9	64,620	23,301	218,106	306,028
Benign Tumour	#	#	#	#	#
Blindness	27	5,936,835	541,621	2,268,795	8,747,252
Brain Damage	#	#	#	#	#
Bruising/ Extravasation	#	#	#	#	#
Fatality	#	#	#	#	#
Fracture	#	#	#	#	#
Malignant Tumour	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other	#	#	#	#	#
Other Visual Problems	68	3,365,368	545,029	2,655,326	6,565,723
Poor Outcome - Fractures Etc.	#	#	#	#	#
Psychiatric/Psychological Dmge	#	#	#	#	#
Unnecessary Pain	9	143,750	16,769	155,083	315,602

Table 4: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types					
2015/16	120	7,337,114	901,088	5,107,276	13,345,478
Adtnl/unnecessary Operation(s)	18	329,056	46,147	367,462	742,664
Blindness	23	2,091,613	209,221	993,941	3,294,775
Brain Damage	#	#	#	#	#
Bruising/ Extravasation	#	#	#	#	#
Burn(s)	#	#	#	#	#
Cancer	#	#	#	#	#
Fatality	#	#	#	#	#
Multiple Injuries	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other	#	#	#	#	#
Other Visual Problems	54	3,205,433	435,489	2,517,749	6,158,671
Perforation	#	#	#	#	#
Psychiatric/Psychological Dmge	#	#	#	#	#
Scarring	#	#	#	#	#
Unnecessary Pain	13	239,035	51,666	502,909	793,610
2016/17	161	11,785,403	1,617,731	8,122,503	21,525,638
Adtnl/unnecessary Operation(s)	11	820,020	106,514	572,538	1,499,073
Blindness	35	5,282,289	500,876	2,579,423	8,362,588
Burn(s)	#	#	#	#	#
Cancer	#	#	#	#	#
Cardiac Arrest	#	#	#	#	#
Fatality	#	#	#	#	#
Malignant Tumour	#	#	#	#	#
Multiple Injuries	#	#	#	#	#
Other	#	#	#	#	#
Other Infection	#	#	#	#	#
Other Visual Problems	97	5,105,647	894,568	4,392,448	10,392,663
Partial Paralysis	#	#	#	#	#
Scarring	#	#	#	#	#
Unnecessary Pain	5	58,709	5,112	59,178	122,998
2017/18	154	14,182,295	1,419,612	6,430,199	22,032,107
Adtnl/unnecessary Operation(s)	13	778,937	110,917	463,483	1,353,337
Advanced Stage Cancer	#	#	#	#	#
Blindness	37	8,034,605	541,497	2,126,120	10,702,221
Cardiac Arrest	#	#	#	#	#
Cystic Growth	#	#	#	#	#
Decompression Illness	#	#	#	#	#
Fracture	#	#	#	#	#
Malignant Tumour	#	#	#	#	#
Nerve Damage	#	#	#	#	#
Other	#	#	#	#	#
Other Infection	#	#	#	#	#
Other Visual Problems	71	4,460,104	571,659	2,497,689	7,529,452
Perforation	#	#	#	#	#

Table 4: Analysis of Primary Injuries for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Injury Types					
Stroke	#	#	#	#	#
Tissue Damage	#	#	#	#	#
Unnecessary Pain	18	364,475	82,440	621,291	1,068,206
Grand Total	1,115	91,641,235	10,033,858	42,824,711	144,499,804

Table 5: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Speciality Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
2008/09	61	7,216,333	608,624	1,721,062	9,546,019
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	10	455,900	67,694	275,333	798,926
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	7	125,500	35,442	88,741	249,682
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	11	2,802,510	228,684	522,903	3,554,097
Inadqte Monitor In Recov Room	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	10	197,500	63,927	174,517	435,944
Lack Of Assistance/Care	#	#	#	#	#
Lack Of Facilities/Equipment	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Operator Error	#	#	#	#	#
Other	#	#	#	#	#
2009/10	84	3,901,880	735,116	2,048,972	6,685,968
Bacterial Infection	#	#	#	#	#
Cross Infection	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	20	808,101	120,375	433,588	1,362,064
Fail To Carry Out PO Observs.	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	5	160,000	73,343	208,705	442,048
Fail To Warn-Informed Consent	5	85,350	22,061	90,550	197,961
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	16	1,665,750	300,839	539,692	2,506,280
Foreign Body Left In Situ	#	#	#	#	#
Improp. Delegation To Junior	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	6	110,750	23,635	114,050	248,435
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Not Specified	#	#	#	#	#
Operator Error	#	#	#	#	#
Other	#	#	#	#	#
Re-Canalisation	#	#	#	#	#
Unknown	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
2010/11	115	8,712,979	1,170,100	3,721,299	13,604,377
Bacterial Infection	#	#	#	#	#
Cross Infection	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Equipment Malfunction	5	84,566	8,230	55,856	148,653
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	31	1,574,312	217,978	788,665	2,580,955
Fail To Follow-Up Arrangements	6	1,479,984	179,266	572,626	2,231,876
Fail To Recog. Complication Of	6	483,976	21,477	231,600	737,054

Table 5: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Speciality Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Fail To Warn-Informed Consent	5	192,500	69,545	350,300	612,345
Fail/Delay Admitting To Hosp.	#	#	#	#	#
Fail/Delay Referring To Hosp.	#	#	#	#	#
Failure/Delay Diagnosis	15	2,178,495	287,898	518,837	2,985,229
Foreign Body Left In Situ	#	#	#	#	#
Improp. Delegation To Junior	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	12	358,141	83,723	292,864	734,728
Lack Of Assistance/Care	#	#	#	#	#
Operator Error	#	#	#	#	#
Other	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Re-Canalisation	#	#	#	#	#
Retained Instrument Post-Operation	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
2011/12	89	10,563,979	912,235	3,127,541	14,603,755
Delay In Performing Operation	5	79,752	69,228	195,706	344,686
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	25	2,953,382	237,413	757,483	3,948,277
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	9	528,795	63,698	261,461	853,954
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	12	4,444,894	347,728	1,125,300	5,917,923
Fell into From Object	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Incorrect Injection Site	#	#	#	#	#
Intra-Op Problems	9	157,259	26,613	153,456	337,329
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Operator Error	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
2012/13	97	8,649,118	761,006	3,448,268	12,858,391
Application Of Excess Force	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	28	1,126,903	257,188	1,143,003	2,527,094
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	6	204,195	29,225	144,100	377,520
Fail To Warn-Informed Consent	#	#	#	#	#
Fail/Delay Of Avail Emgy Anaes	#	#	#	#	#
Fail/Delay Referring To Hosp.	#	#	#	#	#
Failure To Interpret X-Ray	#	#	#	#	#
Failure/Delay Diagnosis	17	5,551,638	260,046	1,152,796	6,964,480
Foreign Body Left In Situ	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#

Table 5: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Incorrect Injection Site	#	#	#	#	#
Intra-Op Problems	10	562,565	53,855	245,891	862,311
Lack Of Assistance/Care	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Operator Error	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
2013/14	107	8,293,524	674,763	3,277,079	12,245,366
Delay In Performing Operation	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	30	2,256,359	245,090	1,027,595	3,529,044
Fail Antenatal Screening	#	#	#	#	#
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	6	451,000	31,723	172,250	654,973
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	18	4,071,588	199,965	1,004,471	5,276,023
Foreign Body Left In Situ	#	#	#	#	#
Inappropriate Treatment	6	228,586	16,287	118,250	363,123
Intra-Op Problems	9	383,518	19,150	198,900	601,568
Lack Of Facilities/Equipment	#	#	#	#	#
Medication Errors	#	#	#	#	#
Operator Error	9	315,184	72,785	148,322	536,291
Perform. Of Op. Not Indicated	#	#	#	#	#
Probs With Medical Records	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
2014/15	127	10,998,611	1,233,584	5,820,511	18,052,705
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	31	1,611,123	360,439	1,430,702	3,402,264
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	8	2,666,489	157,844	775,212	3,599,545
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	5	376,250	55,056	272,100	703,406
Fail/Delay Referring To Hosp.	#	#	#	#	#
Failure To Interpret X-Ray	#	#	#	#	#
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	25	3,220,370	326,773	1,670,037	5,217,180
Improp. Delegation To Junior	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inapprop. Case Selection	#	#	#	#	#
Inappropriate Treatment	11	373,049	29,897	221,092	624,038
Intra-Op Problems	8	142,400	62,345	225,833	430,578
Lack Of Assistance/Care	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Operator Error	10	86,567	9,908	97,025	193,500
Perform. Of Op. Not Indicated	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
2015/16	120	7,337,114	901,088	5,107,276	13,345,478
Bacterial Infection	#	#	#	#	#

Table 5: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Speciality Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Fail / Delay Treatment	29	2,601,304	290,613	1,271,810	4,163,726
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	5	159,566	19,190	232,586	411,342
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	5	406,000	99,919	557,611	1,063,530
Fail/Delay Referring To Hosp.	#	#	#	#	#
Failed Infection Control Policy/Hospital Hygiene	#	#	#	#	#
Failure To Perform Tests	8	1,352,965	81,268	522,893	1,957,125
Failure/Delay Diagnosis	16	908,206	172,807	735,791	1,816,804
Improp. Delegation To Junior	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inapprop. Case Selection	#	#	#	#	#
Inappropriate Treatment	11	236,600	56,826	439,347	732,773
Intra-Op Problems	12	287,850	54,641	426,465	768,956
Lack Of Assistance/Care	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Not Specified	#	#	#	#	#
Operator Error	13	271,739	31,043	237,401	540,183
Other	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
2016/17	161	11,785,403	1,617,731	8,122,503	21,525,638
Bacterial Infection	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	5	183,316	43,566	184,200	411,082
Fail / Delay Treatment	43	4,726,269	618,325	2,920,542	8,265,135
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	11	611,092	125,663	526,724	1,263,478
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	6	110,600	27,493	186,856	324,949
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Failure/Delay Diagnosis	23	2,406,392	299,139	1,582,168	4,287,699
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inadequate Nursing Care	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Inappropriate Treatment	14	334,925	63,854	398,650	797,429
Intra-Op Problems	13	1,219,865	155,210	872,896	2,247,971
Lack Of Assistance/Care	#	#	#	#	#
Medication Errors	5	44,637	2,242	35,786	82,664
Operator Error	15	797,450	122,239	501,903	1,421,592
Wrong Diagnosis	#	#	#	#	#
2017/18	154	14,182,295	1,419,612	6,430,199	22,032,107
Cross Infection	#	#	#	#	#
Equipment Malfunction	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	5	84,065	6,249	44,123	134,437
Fail / Delay Treatment	38	7,570,962	460,557	2,054,775	10,086,294
Fail To Act On Abnorm Test Res	#	#	#	#	#
Fail To Follow-Up Arrangements	9	138,562	23,423	189,424	351,409
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Supervise	#	#	#	#	#

Table 5: Analysis of Primary Causes for Claims Closed/Settled with damages paid between financial years 2008/09 and 2017/18 for the Specialty Ophthalmology

Closed_Settled	Y
Clinical_NonClinical	(All)
Claim_Outcome_FOI	Damages Paid

Year of Closure (Settlement Year for PPOs)	No_of_Claims	Damages Paid	Defence Costs Paid	Claimant Costs Paid	Total Paid
----- Primary Causes					
Fail To Warn-Informed Consent	#	#	#	#	#
Fail/Delay Referring To Hosp.	#	#	#	#	#
Failure To Perform Operation	#	#	#	#	#
Failure To Perform Tests	7	957,145	92,641	470,500	1,520,287
Failure/Delay Diagnosis	28	3,973,885	520,074	2,136,953	6,630,912
Inapprop. Case Selection	#	#	#	#	#
Inappropriate Treatment	12	166,040	52,783	192,723	411,547
Incorrect Injection Site	#	#	#	#	#
Intra-Op Problems	17	248,067	44,651	265,726	558,445
Medication Errors	5	112,000	5,591	60,665	178,256
Operator Error	14	211,507	56,895	350,610	619,012
Perform. Of Op. Not Indicated	#	#	#	#	#
Re-Canalisation	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
Grand Total	1,115	91,641,235	10,033,858	42,824,711	144,499,804