



## Resolution

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**Telephone:** 020 7811 2700

December 2020  
FOI\_4878

The following information was requested on 3 December 2020:

*I would like to know please if there have been any successful claims made against Northamptonshire Health Care Foundation Trust's mental health services.*

### Our Response

Please find attached the requested information which covers the financial period 2015/2016 – 19/2020. Claims will be logged against Northamptonshire Healthcare Foundation Trust. We have searched against the recorded specialty of Psychiatry/Mental Health to produce the report. There may be additional claims that involve mental health services but are registered to another principal specialty, as that specialty was the principal cause of harm.

We have suppressed low figures as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3)(a)(i) of the Act, where disclosure to a member of the public would contravene one or more of the data protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation. We take the view that it would not be fair or lawful (given the sensitive and confidential nature of the information held) to disclose such information, and any disclosure would therefore contravene the first data protection principle.

In some instances the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals' identities, as disclosure could potentially cause damage and/or distress to those involved. Where we are in the territory of such small numbers in the attached, we have used a '#' symbol in the relevant field. You should still be able to see aggregate/total details for higher level fields containing this data.

If you would like to know how data is categorised in our Claims database please see the following link: [Glossary](#)

**This concludes our response to your request.**

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to [Tinku Mitra](#), Head of Corporate and Information Governance for NHS Resolution, within 28 days of your receipt of this reply. Reviews of decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF

<https://ico.org.uk/>

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**NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.**

[Table 1: Number of Claims Closed/Settled with damages paid against Northamptonshire Healthcare NHS Foundation Trust's mental health services between financial years 2015/16 to 2019/20](#)

**Table 1: Number of Claims Closed/Settled with damages paid against Northamptonshire Healthcare NHS Foundation Trust's mental health services between financial years 2015/16 to 2019/20**

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Closed_Settled	Y
Scheme	CNST
Claim_Outcome_FOI	Damages Paid
Year of Closure (Settlement Year for PPOs)	
	No. of Claims
2015/16	#
2017/18	#
2018/19	#
2019/20	#
<b>Grand Total</b>	<b>7</b>