



Resolution

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March 2023
FOI_5878

The following information was requested on 10 March 2023:

Under the Freedom of Information Act I am requesting the number of incidents reported by every NHS Trust under the Early Notification Scheme (which is part of the NHS Resolutions Maternity Campaign) under your defined reporting periods for the years: -

- 2019-2020
- 2020-2021
- 2021-2022

Our Response

Please find attached the following table:

Table 1 shows: - Number of Early Notification incidents reported by Trusts between 2019/20 and 2021/22.

These are the number of incidents reported into the Early Notification scheme from 1 April 2019 until 31 March 2022, with data accurate as at 31 March 2022. During the life of the scheme, we have amended the reporting criteria to reflect HSIB's amended criteria. As a result, the number of incidents reported into the EN scheme substantially reduced. For more information please visit [What we investigate \(maternity\) \(hsib.org.uk\)](https://www.hsib.org.uk/what-we-investigate/maternity) and [Early notification scheme - NHS Resolution](#).

These incidents are reported by Member Trusts into the Early Notification as meeting the EN criteria. Following triage, some incidents may not proceed to investigation and will be closed.

Low Numbers

Please note we have suppressed low figures as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3A) (a) of the Freedom of Information Act, where disclosure to a member of the public

would contravene one or more of the data protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation.

In some instances the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals identities', as disclosure could potentially cause damage and/or distress to those involved. Where we are in the territory of such small numbers in the attached, we have used a '#' symbol in the relevant field. You should still be able to see aggregate/total details for higher-level fields containing this data.

Further to our obligations to provide advice and assistance, you may find it helpful to review the work of the [Getting It Right First Time team](#) with whom NHS Resolution has been working with to undertake in-depth analysis of our claims data. They have produced a number of [reports](#) from analysing our claims data which has been shared following approval of the confidentiality advisory group to the use of confidential patient information for this purpose.

If you would like to know how data is categorised in our Claims database please see the following link: [Glossary](#)

This concludes our response to your request.

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to [Tinku Mitra](#), Deputy Director of Corporate and Information Governance, Data Protection Officer for NHS Resolution, within 28 days of your receipt of this reply. Reviews of decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

<https://ico.org.uk/>

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NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.

[Table 1: Number of Early Notification incidents reported by Trusts between 2019/20 and 2021/22](#)

Table 1: Number of Early Notification incidents reported by Trusts between 2019/20 and 2021/22

Member Trust	Year of Notification		
	2019/20	2020/21	2021/22
Trusts with less than 5 claims (24 Trusts)	67	39	56
Airedale NHS Foundation Trust	#	0	#
Barking, Havering and Redbridge University Hospitals NHS Trust	12	5	#
Barnsley Hospital NHS Foundation Trust	#	0	#
Barts Health NHS Trust	29	19	11
Bedfordshire Hospitals NHS Foundation Trust	9	#	5
Birmingham Women's and Children's NHS Foundation Trust	13	6	#
Bradford Teaching Hospitals NHS Foundation Trust	8	#	#
Buckinghamshire Healthcare NHS Trust	#	#	0
Calderdale & Huddersfield NHS Foundation Trust	8	#	#
Chelsea & Westminster Hospital NHS Foundation Trust	9	#	5
Chesterfield Royal Hospital NHS Foundation Trust	#	0	#
County Durham and Darlington NHS Foundation Trust	5	#	#
Dartford and Gravesham NHS Trust	6	5	0
Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust	#	0	#
Dorset County Hospital NHS Foundation Trust	#	0	0
Dudley Group NHS Foundation Trust (The)	#	0	#
East and North Hertfordshire NHS Trust	8	#	5
East Cheshire NHS Trust	#	0	0
East Kent Hospitals University NHS Foundation Trust	9	#	#
East Lancashire Hospitals NHS Trust	10	#	#
East Midlands Ambulance Service NHS Trust	#	0	0
East Suffolk and North Essex NHS Foundation Trust	6	#	#
East Sussex Healthcare NHS Trust	#	#	0
Epsom and St Helier University Hospitals NHS Trust	6	0	#
Frimley Health NHS Foundation Trust	7	#	10
Gateshead Health NHS Foundation Trust	#	0	0
George Eliot Hospital NHS Trust	#	#	0
Gloucestershire Hospitals NHS Foundation Trust	8	#	#
Great Western Hospitals NHS Foundation Trust	8	#	#
Guy's and St Thomas' NHS Foundation Trust	9	#	#
Harrogate & District NHS Foundation Trust	0	#	0
Hillingdon Hospitals NHS Foundation Trust (The)	10	#	#
Homerton University Hospital NHS Foundation Trust	12	7	#
Hull University Teaching Hospitals NHS Trust	0	#	5
Imperial College Healthcare NHS Trust	10	#	#
Isle of Wight NHS Trust	0	0	#
James Paget University Hospitals NHS Foundation Trust	#	0	0
King's College Hospital NHS Foundation Trust	15	#	#
Kingston Hospital NHS Trust	6	#	#
Lewisham & Greenwich NHS Trust	6	#	#
Liverpool Women's Hospital NHS Foundation Trust	13	#	#
London North West University Healthcare NHS Trust	19	#	#
Maidstone and Tunbridge Wells NHS Trust	7	6	#
Manchester University NHS Foundation Trust	27	8	11
Medway NHS Foundation Trust	9	5	#
Mid and South Essex NHS Foundation Trust	14	#	5
Mid Yorkshire Hospitals NHS Trust (The)	#	0	#
Newcastle Upon Tyne Hospitals NHS Foundation Trust (The)	8	#	#
Norfolk & Norwich University Hospitals NHS Foundation Trust	6	6	#
North Bristol NHS Trust	7	#	#
North Middlesex University Hospital NHS Trust	7	#	#
North Tees & Hartlepool NHS Foundation Trust	#	0	0
Northampton General Hospital NHS Trust	#	#	0
Northern Care Alliance NHS Foundation Trust	6	#	5
Northern Devon Healthcare NHS Trust	0	0	#
Northern Lincolnshire & Goole Hospitals NHS Foundation Trust	#	#	0
Northumbria Healthcare NHS Foundation Trust	#	0	#
Nottingham University Hospitals NHS Trust	14	5	5
Oxford University Hospitals NHS Foundation Trust	15	6	7
Portsmouth Hospitals NHS Trust	8	#	#
Princess Alexandra Hospital NHS Trust (The)	#	#	0
Royal Berkshire NHS Foundation Trust	11	#	7
Royal Cornwall Hospitals NHS Trust	11	#	#
Royal Free London NHS Foundation Trust	14	#	#
Royal United Hospitals Bath NHS Foundation Trust	12	#	#

Table 1: Number of Early Notification incidents reported by Trusts between 2019/20 and 2021/22

Member Trust	Year of Notification		
	2019/20	2020/21	2021/22
Royal Wolverhampton NHS Trust (The)	7	0	#
Salisbury NHS Foundation Trust	#	0	0
Sandwell & West Birmingham Hospitals NHS Trust	10	5	#
Sheffield Teaching Hospitals NHS Foundation Trust	10	6	6
Sherwood Forest Hospitals NHS Foundation Trust	#	0	0
Shrewsbury and Telford Hospital NHS Trust	#	0	#
Somerset NHS Foundation Trust	9	#	#
South Tees Hospitals NHS Foundation Trust	#	0	0
South Tyneside and Sunderland NHS Foundation Trust	#	0	#
Southport and Ormskirk Hospital NHS Trust	#	0	0
St Helens and Knowsley Teaching Hospitals NHS Trust	8	#	#
Stockport NHS Foundation Trust	7	0	#
Surrey and Sussex Healthcare NHS Trust	11	#	#
Tameside and Glossop Integrated Care NHS Foundation Trust	5	0	#
The Leeds Teaching Hospitals NHS Trust	8	#	#
The Queen Elizabeth Hospital King's Lynn NHS Foundation Trust	#	0	0
United Lincolnshire Hospitals NHS Trust	5	#	#
University College London Hospitals NHS Foundation Trust	17	#	#
University Hospital Southampton NHS Foundation Trust	7	#	5
University Hospitals Birmingham NHS Foundation Trust	11	7	#
University Hospitals Bristol & Weston NHS Foundation Trust	6	6	0
University Hospitals Coventry & Warwickshire NHS Trust	5	#	#
University Hospitals of Derby and Burton NHS Foundation Trust	6	6	#
University Hospitals of Leicester NHS Trust	#	#	8
University Hospitals of Morecambe Bay NHS Foundation Trust	#	#	0
University Hospitals Sussex NHS Foundation Trust	8	10	7
University Plymouth Hospitals NHS Trust	13	#	#
Warrington and Halton Hospitals NHS Foundation Trust	6	#	0
West Suffolk NHS Foundation Trust	#	0	#
Wirral University Teaching Hospital NHS Foundation Trust	10	#	#
Worcestershire Acute Hospitals NHS Trust	7	0	#
Wrightington, Wigan and Leigh NHS Foundation Trust	#	#	0
Wye Valley NHS Trust	#	0	0
Yeovil District Hospital NHS Foundation Trust	#	0	#
York and Scarborough Teaching Hospitals NHS Foundation Trust	#	#	0
Grand Total	760	266	305